

06/14/00

JC542 U.S. PTO

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
169078/99**To the Assistant Commissioner for Patents:**

Transmitted herewith for filing is the patent application of:

Yutaka YANO

corresponding to Japanese application 169078/1999, filed June 16, 1999,

entitled: WAVELENGTH-DIVISION MULTIPLEXED OPTICAL TRANSMISSION SYSTEM

Enclosed are:

<input checked="" type="checkbox"/>	33 pages of specification.
<input checked="" type="checkbox"/>	16 sheets of formal drawings.
<input checked="" type="checkbox"/>	a newly-executed declaration of the inventor.
<input type="checkbox"/>	a copy of an executed declaration of the inventor from prior application Serial No. , filed .
<input type="checkbox"/>	incorporation by reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
<input checked="" type="checkbox"/>	an assignment of the invention to NEC CORPORATION, including assignment cover sheet.
<input type="checkbox"/>	Information Disclosure Statement with Form PTO-1449.
<input type="checkbox"/>	copies of the Information Disclosure Statement citations.
<input type="checkbox"/>	preliminary amendment.
<input checked="" type="checkbox"/>	return receipt postcard (MPEP 503), specifically itemized.
<input type="checkbox"/>	a verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
<input type="checkbox"/>	a verified statement to establish small entity status filed in prior application. Status is still proper and desired.
<input checked="" type="checkbox"/>	a certified copy of the Japanese Priority Document.
<input checked="" type="checkbox"/>	other: inventor information sheet.

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09/593761

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If a CONTINUING APPLICATION, *check appropriate box and supply the requisite information.*☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)

of prior application No. , filed .

<input checked="" type="checkbox"/>	Customer No. 000466.
<input checked="" type="checkbox"/>	Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street, Second Floor, Arlington, Virginia 22202.
<input checked="" type="checkbox"/>	Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709.

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**  
(continued)

Docket No.  
169078/99

**CLAIMS AS FILED**

	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE			\$ 690	\$ 690
TOTAL CLAIMS	17 - 20 =	0	X\$ 18	0
INDEPENDENT CLAIMS	3 - 3 =	0	X\$ 78	0
MULTIPLE DEPENDENT CLAIM PRESENT			\$ 260	

**TOTAL** \$ 690

If applicant has small entity status under 37  
CFR 1.9 and 1.27, then divide total fee by 2,  
and enter amount here.

**SMALL ENTITY  
TOTAL**

\$

- ☒ A check in the amount of \$730 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over-  
payments to Deposit Account No. 25-0120 in the name of Young & Thompson, as  
described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \$ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required under 37 CFR 1.16 and 1.17, during  
the pendency of this application.
- ☐ Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of  
Allowance.



Robert J. Patch  
Registration No. 17,355  
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Telephone 703/521-2297

June 14, 2000

#2  
PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yutaka YANO

Serial No: (unknown)

Filed herewith

WAVELENGTH-DIVISION MULTIPLEXED  
OPTICAL TRANSMISSION SYSTEM



CLAIM FOR FOREIGN PRIORITY UNDER 35 U.S.C. 119  
AND SUBMISSION OF PRIORITY DOCUMENT

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Attached hereto is a certified copy of applicant's corresponding patent application filed in Japan on June 16, 1999 under No. 169078/1999.

Applicant herewith claims the benefit of the priority filing date of the above-identified application for the above-entitled U.S. application under the provisions of 35 U.S.C. 119.

Respectfully submitted,

YOUNG & THOMPSON

By

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June 14, 2000